

Docket No. 293590US0PCT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Reinhold J. LEYRER, et al.

SERIAL NO: 10/586,682

GAU:

FILED: July 20, 2006

EXAMINER:

FOR: AQUEOUS POLYMER DISPERSIONS CONTAINING AMPHIPHILIC BLOCK COPOLYMERS, METHOD FOR PRODUCING SAID DISPERSIONS AND THE USE THEREOF

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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U.S. PCT Application Serial No: 10/586,682  
Filed: July 20, 2006  
Reinhold J. LEYRER, et al.  
Docket No. 293590 US



#### STATEMENT OF RELEVANCY

- 1) References AA & AC have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References [redacted] have been cited in the corresponding [redacted] Search Report. A copy of these references is being submitted herewith.
- 3) References AB & AD-AAA are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References [redacted] are additional prior art known to Applicant. A copy of these references is being submitted herewith.

ATTY DOCKET NO.  
293590US0PCTSERIAL NO.  
10/586,682

## LIST OF REFERENCES CITED BY APPLICANT

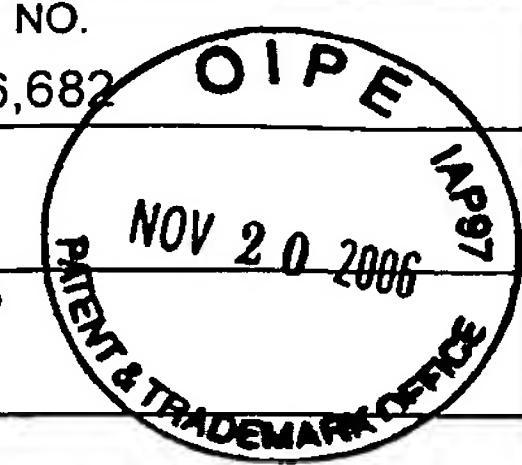
## APPLICANT

Reinhold J. LEYRER, et al.

## FILING DATE

July 20, 2006

## GROUP



## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
AA	2004 0007153	01/15/2004	DEAN THETFORD et al.			
AB	5 300 701	04/05/1994	RICHARD E. CHERPECK			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	NO
AC	01 30882	05/03/2001	WO (equivalent of US 6 743 855)		NO
AD	86 00081	01/03/1986	WO (equivalent of US 4 659 492)		NO
AE	87 00857	02/12/1987	WO (equivalent of US 4 664 834)		NO
AF	87 00856	02/12/1987	WO (equivalent of US 4 661 275 & US 4 749 500)		NO
AG	9 272796	10/21/1997	JP (with English abstract)		NO
AH	97 41178	11/06/1997	WO		NO
AI	101 25 158	12/05/2002	DE (equivalent of US 2004 0154216)		NO
AJ	103 21 734	12/02/2004	DE		NO
AK	02 26840	04/04/2002	WO (with English abstract & US 6 914 163)		NO
AL	01 25293	04/12/2001	WO (with English abstract & equivalent of CA 2 386 279)		NO
AM	01 25294	04/12/2001	WO (with English abstract and equivalent of CA 2 386 281)		NO
AN	0 476 785	03/25/1992	EP (equivalent of US 5 214 142)		NO
AO	195 19 042	11/28/1996	DE (equivalent of US 5 883 196)		NO
AP	43 19 671	12/15/1994	DE (equivalent of US 5 420 207)		NO
AQ	43 19 672	12/15/1994	DE		NO
AR	0 156 310	10/02/1985	EP (equivalent of US 4 599 433)		NO
AS	95 07944	03/23/1995	WO (with English abstract and equivalent of US 6 284 716 & US 6 512 055)		NO
AT	01 55059	08/02/2001	WO (with English abstract and equivalent of US 6 706 838 & US 6 527 885)		NO
AU	90 03359	04/05/1990	WO (equivalent of US 5 112 507)		NO
AV	100 03 105	07/26/2001	DE (equivalent of US 2003 0024852)		NO
AW	01 70830	09/27/2001	WO (equivalent of US 6 410 491)		NO
AX	97 03946	02/06/1997	WO (with English abstract and equivalent of US 6 069 281)		NO
AY	0 743 962	11/27/1996	EP (English abstract only and equivalent of WO 95 21880 & US 5 777 046)		NO
AZ	43 25 237	02/02/1995	DE (equivalent of US 5 741 947)		NO
AAA	102 43 361	04/01/2004	DE (equivalent of US 2005 0170991)		NO

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

AAB	
AAC	
AAD	
AAE	<input type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.